Perspektiven der Mathematikdidaktik Gabriele Kaiser *Hrsg.*



Xiaoli Lu

Novice Mathematics Teachers' Professional Learning

A Multi-Case Study in Shanghai



Perspektiven der Mathematikdidaktik

Reihe herausgegeben von

Gabriele Kaiser, Sektion 5, Universität Hamburg, Hamburg, Deutschland

In der Reihe werden Arbeiten zu aktuellen didaktischen Ansätzen zum Lehren und Lernen von Mathematik publiziert, die diese Felder empirisch untersuchen, qualitativ oder quantitativ orientiert. Die Publikationen sollen daher auch Antworten zu drängenden Fragen der Mathematikdidaktik und zu offenen Problemfeldern wie der Wirksamkeit der Lehrerausbildung oder der Implementierung von Innovationen im Mathematikunterricht anbieten. Damit leistet die Reihe einen Beitrag zur empirischen Fundierung der Mathematikdidaktik und zu sich daraus ergebenden Forschungsperspektiven.

Reihe herausgegeben von

Prof. Dr. Gabriele Kaiser Universität Hamburg

Weitere Bände in der Reihe https://link.springer.com/bookseries/12189

Xiaoli Lu

Novice Mathematics Teachers' Professional Learning

A Multi-Case Study in Shanghai



Xiaoli Lu School of Mathematical Sciences and Shanghai Key Laboratory of PMMP East China Normal University Shanghai, China

Dissertation University of Hong Kong, 2017

ISSN 2522-0799 ISSN 2522-0802 (electronic) Perspektiven der Mathematikdidaktik ISBN 978-3-658-37235-4 ISBN 978-3-658-37236-1 (eBook) https://doi.org/10.1007/978-3-658-37236-1

© The Editor(s) (if applicable) and The Author(s), under exclusive license to Springer Fachmedien Wiesbaden GmbH, part of Springer Nature 2022

This work is subject to copyright. All rights are solely and exclusively licensed by the Publisher, whether the whole or part of the material is concerned, specifically the rights of translation, reprinting, reuse of illustrations, recitation, broadcasting, reproduction on microfilms or in any other physical way, and transmission or information storage and retrieval, electronic adaptation, computer software, or by similar or dissimilar methodology now known or hereafter developed.

The use of general descriptive names, registered names, trademarks, service marks, etc. in this publication does not imply, even in the absence of a specific statement, that such names are exempt from the relevant protective laws and regulations and therefore free for general use.

The publisher, the authors and the editors are safe to assume that the advice and information in this book are believed to be true and accurate at the date of publication. Neither the publisher nor the authors or the editors give a warranty, expressed or implied, with respect to the material contained herein or for any errors or omissions that may have been made. The publisher remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Responsible Editor: Marija Kojic

This Springer Spektrum imprint is published by the registered company Springer Fachmedien Wiesbaden GmbH part of Springer Nature.

The registered company address is: Abraham-Lincoln-Str. 46, 65189 Wiesbaden, Germany

Foreword

Teacher quality is the most important element in children's education, and effective teacher professional development (TPD) has been recognised as essential for promoting teacher quality and thus improving students' academic performance. While the literature on mathematics teacher professional development is extensive, focusing on mathematics teacher beliefs, teacher knowledge, teaching practice and environmental influences on TPD, most of this body of literature focussed on only a few components of TPD and the influencing factors, and failed to address the complex and dynamic characteristics of TPD embedded in the mathematics teachers' professional lives and working conditions and the explanatory causality and reciprocal influences of various TPD components.

The present book by Xiaoli Lu, based on her PhD research, aims to fill this research gap. It presents a multiple-case study of novice teachers with the aim of providing key information and insights for TPD. The book's target readership includes future and practicing teachers at all levels as well as researchers, teacher educators and policy-makers. The book presents in detail the professional learning processes of three novice mathematics teachers during the initial two years of their teaching careers in Shanghai. It documents the novice teachers' professional learning outcomes in terms of teacher beliefs, knowledge and teaching practice as well as the opportunities and challenges in their learning of teacher beliefs, knowledge and teaching practice as well as the influences of environmental factors on the ways in which teachers achieved professional learning. In particular, the three teacher cases provide important implications for teacher educators and policy-makers by promoting early career teachers' professional learning of student-centred pedagogies in contexts dominated by traditional teaching practice.

The multiple-case study followed a rigorous research methodology and design and was based on a comprehensive survey of literature on TPD theories, teacher expertise and mathematics teachers' teaching in the context of China. The book presents in detail the professional learning processes of three novice mathematics teachers who had different learning experiences and taught in different schools. The findings from the three cases were synthesised to examine the opportunities and constraints that various mentorships present in terms of teachers' professional learning. The study's findings reveal how teachers' experiences, beliefs, knowledge and practices interact to produce various learning outcomes and the important role that the environment plays in novice mathematics teachers' implementation of student- or teacher-centred teaching within the context in which they are situated.

Two journal articles have been published based on the study presented in this book. That by Lu, Kaiser and Leung (2020) focuses on a model that examines various approaches to mentoring, while that by Lu, Leung and Li (2021) investigates novice mathematics teachers' agency with respect to integrating history into mathematics teaching in a performance-driven context.

This book and the two above-mentioned articles address a research gap in the field of TPD. Taking the specific subject and context into consideration, this study reveals the complexity, dynamics and openness of TPD in present-day mathematics education. We hope that this book will contribute to enriching theoretical knowledge regarding TPD and to enhancing mathematics teaching in China and beyond.

Frederick K. S. Leung The University of Hong Kong Hong Kong, China

Acknowledgements

I wish to thank the numerous people who supported me throughout my completion of the study.

First, I would like to express my sincerest gratitude to my supervisor, Professor Frederick K. S. Leung. Every class, meeting and conversation with him enhanced my learning and promoted my growth as a researcher. His patient and insightful feedback played an essential role in the study's completion. He also provided us with many opportunities to communicate with other scholars and to offer necessary support for my living in Hong Kong. Without his guidance and encouragement, my learning process over the four years would not have been so smooth.

I am also grateful to the three participants and their mentors who participated in the study and without whom there would be no data to discuss. I am also grateful for the assistance offered by Professor Bao Jiansheng, Professor Gu Lingyuan, Professor Wang Xiaoqin, Dr. Gu Feishi, Dr. Zhu Yan, Dr. Cheng Jing, Mr. Zou Jiachen, and Mr. Cui Weixin who helped me to establish contact with the participants.

I would also like to extend my appreciation to the several scholars who provided constructive comments on my study: Dr. Ida Mok, Professor Bao Jiansheng, Professor Gu Lingyuan, Dr. Zhu Yan, Dr. Zhang Qiaoping, Professor David Clarke, Professor Fan Lianghuo and Professor Susan Leung. I also wish to thank my friends who have accompanied me on my academic journey, particularly Ailin, Cathy, Christy, Danial, Jiming, Judy, Lina, Peigen, Tracey Tao, Tracey Xu, Wei, Zhan and Yin. Particular thanks are due to Leming Liang for sharing ideas and discussing with me in detail the issues I encountered when compiling the thesis and to Jiali Tang for re-preparing the figures in the book and helping to check the references prior to publication. Sincere gratitude is owed to Professor Gabriele Kaiser for her enthusiastic encouragement and deep interest in the study, as well as effective research guidance and collaboration for further exploration of the study after my graduation. I am also grateful to Professor Jianpan Wang for his support in the study's further exploration during my time at the East China Normal University, Shanghai.

In addition, I wish to thank my friends in Hong Kong and Shanghai. Special thanks are due to Rachel and Shengli, who extended their help when I first arrived in Hong Kong, and to Jiao, Di, Lei, Le, Miao, Liang, Haiyan, Gang, Jing, Hong-dao, Hongyan, Weiqin, Jialu and Likun who were of assistance when I collected data in Shanghai. I would also like to thank my friends from the badminton team, hiking team, and the Faculty of Education at the University of Hong Kong and the Department of Mathematics East China Normal University, who made the four years colourful and wonderful.

Finally, my sincerest appreciation and deepest love are extended to my parents and my husband, whose support, concern and company are consistently the greatest source sustenance for me.

The book is supported by a grant from the Science and Technology Commission of Shanghai Municipality (No. 18dz2271000)

February 9, 2022

Xiaoli Lu 鲁小莉

Abstract

This study's central aim is to explore how three novice upper secondary school mathematics teachers in Shanghai experienced the professional learning process in the early stage of their careers in situated contexts. Teacher professional learning is considered a complex and dynamic system that connects both cognitive and situated perspectives on learning theory.

The study adopted a longitudinal case study approach in which teachers' beliefs, knowledge and teaching practices were analysed over the two academic years from 2013 to 2015. The data consisted primarily of classroom observation and interviews and were collected in four rounds. In each round, three or four consecutive lessons for each teacher were observed, and semi-structured interviews were conducted that focused on the teachers' background information, beliefs, knowledge and teaching practice, as well as their own reflections on the learning process and their mentors' perceptions of their interactions with their mentees. A qualitative data analysis approach was adopted to generate a holistic description of the teachers' teaching and their pedagogical learning.

Doris, who underwent teaching-related training in her bachelor's and master's programmes and during a one-year voluntary teaching practice, focused on learning to teach school mathematics in a teacher-centred way that was consistent with the collective ideas of other teachers in the same environment while integrating the history and culture of mathematics into her teaching in a bid to promote students' interest and mathematical thinking. Jerry, who learned to teach mathematics during his bachelor's programme and obtained a master's degree in mathematics, in particular delivered performance-oriented learning, as required by the school environment. Tommy, who had not received any teaching-related training, focused particularly on learning how to teach mathematics in a school context and adjusted his original pedagogical beliefs accordingly.

Combining the three cases revealed that the three teachers brought different beliefs and knowledge to their teaching practices owing to their different individual experiences. However, they consistently implemented teacher-centred, content-focused and performance-oriented teaching practice over the two years in the school context and learned related knowledge during the professional learning process. At the end of the two years, interviews with the three teachers revealed contradictions between their stated beliefs and their actual teaching. While in principle they tended to support student-centred teaching, in practice, their teaching focused on the contents and students' performances, as demanded by the environment. Moreover, the novice teachers experienced seven one-to-one mentorships during the two-year teaching practice period, four of which were found to be unnecessary, one demonstrative, one mainly demonstrative and sometimes collaborative, and one mainly supportive and sometimes collaborative. The opportunities and challenges associated with the various mentorships in terms of the novice teachers' professional development are discussed. The study finally summarises the three teachers' learning outcomes during the two-year professional learning period as well as the influences on their professional learning.

The results indicate that the novice mathematics teachers' professional learning processes differed owing to their different previous experiences in learning and teaching mathematics and the environmental influences and that the novice teachers could promote student-centred pedagogies (e.g., integrating history into teaching); however, novice teachers' professional learning is heavily influenced by the environmental norms, which reveals a dominant performance-driven context. The study's findings contribute to verifying and enriching the theory of teacher professional learning, a comprehensive understanding of novice teachers' professional learning process in a particular subject—here, mathematics—and within the specific context of China as well as insights into pre-service and in-service teacher education. Further research is needed to widen the scope of the investigation with the aim of better understanding and promoting novice mathematics teachers' professional learning in different environmental contexts.

Contents

Intr	oductio)n	1	
1.1	Ration	ale for the Study	1	
1.2	Aims	of the Study	3	
1.3	1.3 Overview of Research Methodology			
1.4	Signif	icance of the Study	5	
1.5	Overv	iew of the Book	5	
Lite	rature	Review and Theoretical Framework	7	
2.1	Teach	er Professional Learning	7	
	2.1.1	A Delimitation of Teacher Professional Learning	8	
	2.1.2	Theoretical Background of TPL: The Two		
		Perspectives on Learning Theory	8	
	2.1.3	Adopting a Theory that Considers the Complexity		
		and Dynamics of TPL	9	
2.2 Novice Teachers		e Teachers	10	
	2.2.1	The Stage of Expertise	10	
	2.2.2	Features of Novice Teachers in China	11	
	2.2.3	Mentoring and Induction	12	
2.3 Individual-Level Orientation to Learning		dual-Level Orientation to Learning	14	
	2.3.1	Teacher Beliefs and Knowledge	14	
	2.3.2	Teaching Practice	27	
	2.3.3	Interactions among the Elements at the Individual		
		Level	29	
2.4	Influe	nces of the School on Teacher Learning	30	
2.5	The T	heoretical Framework of this Study	32	
	1.1 1.1 1.2 1.3 1.4 1.5 Lite 2.1 2.2 2.3	Information 1.1 Ration 1.2 Aims 1.3 Overv 1.4 Signif 1.5 Overv Literature 2.1 2.1 Teach 2.1.1 2.1.2 2.1.3 2.2.1 2.2.1 2.2.2 2.2.3 Indivi 2.3.1 2.3.2 2.3.3 2.4 Influe 2.5 The T The T	 1.1 Rationale for the Study 1.2 Aims of the Study 1.3 Overview of Research Methodology 1.4 Significance of the Study 1.5 Overview of the Book Literature Review and Theoretical Framework 2.1 Teacher Professional Learning 2.1.1 A Delimitation of Teacher Professional Learning 2.1.2 Theoretical Background of TPL: The Two Perspectives on Learning Theory 2.1.3 Adopting a Theory that Considers the Complexity and Dynamics of TPL 2.2 Novice Teachers 2.2.1 The Stage of Expertise 2.2.2 Features of Novice Teachers in China 2.3 Mentoring and Induction 2.3 Individual-Level Orientation to Learning 2.3.1 Teacher Beliefs and Knowledge 2.3.2 Teaching Practice 2.3.3 Interactions among the Elements at the Individual Level 	

3	Res	earch N	Iethodology and Study Design Iethodology	35
	3.1	Resear	rch Purpose and Research Questions	35
	3.2	Adopt	ing a Qualitative Research Design	36
	3.3	Case S	Study Research Model	37
		3.3.1	Choosing a Case Study Approach	37
		3.3.2	Participant Recruitment	38
		3.3.3	Research Context	40
	3.4	Data C	Collection	43
		3.4.1	Data Collection Procedure	43
		3.4.2	Classroom Observation	45
		3.4.3	Interviews	45
		3.4.4	Document Collection	46
		3.4.5	Field Notes	47
	3.5	Data A	Analysis	47
		3.5.1	Analysis of the Three aspects in Each Stage	49
		3.5.2	Further Analysis	55
		3.5.3	Analysis Focusing on the Influences of Mentoring	56
		3.5.4	Analysis of Supplementary Data	57
	3.6	Validit	ty and Reliability	57
	3.7	Ethica	l Considerations	59
4	The	Case of	f Doris	61
	4.1	Introd	uction	61
	4.2	Backg	round	62
		4.2.1	Doris' Background	62
		4.2.2	School Information	63
	4.3	The Ir	nitial Stage of the Two-year Professional Learning	64
		4.3.1	Main Features of Doris's Teaching Practice	64
		4.3.2	Teacher Beliefs	66
		4.3.3	Doris's Teacher Knowledge	67
		4.3.4	Summary and Discussion	69
	4.4	The M	Iedium Stage of the Two-year Professional Learning	72
		4.4.1	Main Features of Doris's Teaching Practice	72
		4.4.2	Beliefs Reflected in Teaching (Enacted Beliefs)	76
		4.4.3	Knowledge in Teaching	77
		4.4.4	Summary and Discussion	79
	4.5	The E	nd Stage of the Two-year Professional Learning	80
		4.5.1	Main Features of Doris's Teaching Practice	80
		4.5.2	Doris' Teacher Beliefs	82

 4.5.4 Doris's Self-reflection on Her Learning and from Zhao	Words
from Zhao	
 4.5.5 Summary and Discussion	85
 4.6 Doris's Professional Learning	87
 4.6.1 Overall Learning Outcomes	87
 4.6.2 The Ways to Achieve Her Learning Outcom 5 The Case of Jerry 5.1 Introduction 5.2 Background 5.2.1 Jerry's Background 5.2.2 School Information 5.3 The Initial Stage of Two-year Professional Learning 5.3.1 Main Features of Jerry's Teaching Practice 5.3.2 Jerry's Teacher Beliefs 5.3.4 Summary and Discussion 5.4 The Medium Stages of the Two-year Professional L 5.4.1 Main Features of Teaching Practice 5.4.2 Beliefs Reflected in Teaching (Enacted Beliefs 5.4.3 Knowledge in Teaching 5.4.4 Summary and Discussion 	87
 5 The Case of Jerry 5.1 Introduction 5.2 Background 5.2.1 Jerry's Background 5.2.2 School Information 5.3 The Initial Stage of Two-year Professional Learning 5.3.1 Main Features of Jerry's Teaching Practice 5.3.2 Jerry's Teacher Beliefs 5.3.3 Jerry's Teacher Beliefs 5.3.4 Summary and Discussion 5.4 The Medium Stages of the Two-year Professional L 5.4.1 Main Features of Teaching Practice 5.4.2 Beliefs Reflected in Teaching (Enacted Beliefs 5.4.3 Knowledge in Teaching 5.4.4 Summary and Discussion 	es 88
 5.1 Introduction	
 5.2 Background	
 5.2.1 Jerry's Background 5.2.2 School Information 5.3 The Initial Stage of Two-year Professional Learning 5.3.1 Main Features of Jerry's Teaching Practice 5.3.2 Jerry's Teacher Beliefs 5.3.3 Jerry's Teacher Knowledge	
 5.2.2 School Information	
 5.3 The Initial Stage of Two-year Professional Learning 5.3.1 Main Features of Jerry's Teaching Practice 5.3.2 Jerry's Teacher Beliefs 5.3.3 Jerry's Teacher Knowledge 5.4 Summary and Discussion 5.4 The Medium Stages of the Two-year Professional L 5.4.1 Main Features of Teaching Practice 5.4.2 Beliefs Reflected in Teaching (Enacted Belief 5.4.3 Knowledge in Teaching 5.4.4 Summary and Discussion 	
 5.3.1 Main Features of Jerry's Teaching Practice 5.3.2 Jerry's Teacher Beliefs 5.3.3 Jerry's Teacher Knowledge 5.4 Summary and Discussion 5.4 The Medium Stages of the Two-year Professional L 5.4.1 Main Features of Teaching Practice 5.4.2 Beliefs Reflected in Teaching (Enacted Belief 5.4.3 Knowledge in Teaching 5.4.4 Summary and Discussion 	; 96
 5.3.2 Jerry's Teacher Beliefs 5.3.3 Jerry's Teacher Knowledge 5.3.4 Summary and Discussion 5.4 The Medium Stages of the Two-year Professional L 5.4.1 Main Features of Teaching Practice 5.4.2 Beliefs Reflected in Teaching (Enacted Belie 5.4.3 Knowledge in Teaching 5.4.4 Summary and Discussion 	
 5.3.3 Jerry's Teacher Knowledge	
 5.3.4 Summary and Discussion 5.4 The Medium Stages of the Two-year Professional L 5.4.1 Main Features of Teaching Practice 5.4.2 Beliefs Reflected in Teaching (Enacted Belie 5.4.3 Knowledge in Teaching 5.4.4 Summary and Discussion 	100
 5.4 The Medium Stages of the Two-year Professional L 5.4.1 Main Features of Teaching Practice 5.4.2 Beliefs Reflected in Teaching (Enacted Belie 5.4.3 Knowledge in Teaching 5.4.4 Summary and Discussion 	101
 5.4.1 Main Features of Teaching Practice 5.4.2 Beliefs Reflected in Teaching (Enacted Beliefs 5.4.3 Knowledge in Teaching 5.4.4 Summary and Discussion 	earning 104
5.4.2 Beliefs Reflected in Teaching (Enacted Belief5.4.3 Knowledge in Teaching5.4.4 Summary and Discussion	104
5.4.3Knowledge in Teaching5.4.4Summary and Discussion	fs) 107
5.4.4 Summary and Discussion	108
	109
5.5 The End Stage of the Two-year Professional Learning	ng 110
5.5.1 Main Features of Teaching Practice	110
5.5.2 Jerry's Teacher Beliefs	112
5.5.3 Jerry's Teacher Knowledge	113
5.5.4 Jerry's Self-reflection on His Learning	
and Comments on the Mentoring	114
5.5.5 Summary and Discussion	116
6 The Case of Tommy	119
6.1 Introduction	119
6.2 Background	119
6.2.1 Tommy's Background	119
6.2.2 School Information	120
6.3 The Initial Stage of the Two-year Professional Learn	ning 122
6.3.1 Main Features of Teaching Practice	122
6.3.2 Tommy's Teacher Beliefs	123
6.3.3 Tommy's Teacher Knowledge	124
6.3.4 Summary and Discussion	

	6.4	The Medium Stages of the Two-year Professional Learning	128
		6.4.1 Main Features of Teaching Practice	128
		6.4.2 Beliefs Reflected in Teaching (Enacted Beliefs)	131
		6.4.3 Knowledge in Teaching	132
		6.4.4 Summary and Discussion	133
	6.5	The End Stage of the Two-year Professional Learning	134
		6.5.1 Main Features of Tommy's Teaching Practice	134
		6.5.2 Tommy's Teacher Beliefs	136
		6.5.3 Teacher Knowledge	137
		6.5.4 Tommy's Self-reflection on His Learning and Words	
		from Li	138
		6.5.5 Summary and Discussion	140
7	The	e Mentorshin For Doris, Jerry, And Tommy	145
	7.1	Introduction	145
	7.2	Seven Mentors for Doris. Jerry and Tommy	145
	7.3	The Types of Mentorship	147
		7.3.1 Mentor's and Mentee's Input	147
		7.3.2 Mentee's Self-reflection and Attitudes Towards	
		Mentorship	150
	7.4	The Opportunities and Constraints of Mentorship	152
		7.4.1 General Mentorship Types: A Summary	152
		7.4.2 The Nature of the Four Types of Mentorship:	
		A Discussion	153
		7.4.3 Conclusions	154
8	Disc	cussion And Conclusion Of The Study	157
	8.1	Discussion of Findings in the Study	157
		8.1.1 Learning Outcomes	158
		8.1.2 The Process of the Two-year Professional Learning	165
	8.2	Significance of the Study	178
		8.2.1 Theoretical Significances	178
		8.2.2 Practical Significances	179
	8.3	Limitations of the Study	180
	8.4	Suggestions for Further Research	182
	8.5	Envoi	182
Re	eferer	nces	185